

# ASQ CQA

## Certified Quality Auditor Exam

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## Question: 1

Audit team members are responsible for which two of the following?

- i. Initiating the audit
- ii. Remaining within the scope of the audit
- iii. Compiling and analyzing evidence
- IV. Recommending specific corrective actions

- A. I and III
- B. I and IV
- C. II and III
- D. II and IV

**Answer: C**

## Question: 2

An audit schedule is an integral part of which of the following phases of the auditing process?

- A. Initiation
- B. Preparation
- C. Closing
- D. Reporting

**Answer: B**

## Question: 3

As the last step before distributing an audit plan, an auditor should have the plan

- A. approved by the client
- B. approved by the auditee
- C. reviewed by the organization to be audited
- D. reviewed by auditee management

**Answer: A**

#### **Question: 4**

Who is responsible for preparing the corrective action plan?

- A. The auditor
- B. The auditee
- C. The registrar
- D. The audit manager

**Answer: B**

#### **Question: 5**

An auditee has responded to all requests for corrective action in a timely manner. Which of the following is the next step for the auditor?

- A. Close all those findings in which the response is accompanied by adequate objective evidence.
- B. Evaluate the adequacy of the responses.
- C. Schedule a follow-up audit to verify corrective action.
- D. Schedule a follow-up audit for critical items and schedule verification for other routine items at the next scheduled audit.

**Answer: B**

#### **Question: 6**

The best way to communicate audit results to upper management would be to

- A. provide a list of findings of noncompliance and supporting objective evidence
- B. present a list of all items of nonconformance that require corrective action
- C. present a report of the performance against the audit standard of all areas included in the audit scope

D. present an evaluation of overall quality performance and provide an executive summary in the formal report

**Answer: D**

### Question: 7

Which of the following authorities initially determines whether a third-party audit should be conducted?

- A. Client
- B. Lead auditor
- C. Auditee
- D. Audit team

**Answer: A**

### Question: 8

Which of the following is the greatest benefit of an internal audit program to an organization?

- A. It fulfills most contractual quality requirements.
- B. It provides feedback for continuous improvement.
- C. It prepares an organization for third-party audits.
- D. It frequently eliminates the need for external audits.

**Answer: B**

### Question: 9

For third-party audits, which two of the following factors should be considered by the auditor when agreeing to the amount of time needed to complete corrective action?

- i. The resources available to the auditor for determining when follow-up can occur
- ii. The resources available to the auditee to enact the corrective action
- iii. The auditee's experience in project evaluation techniques
- IV. The number of findings recorded during the audit

- A. I and III
- B. I and IV
- C. II and III
- D. II and IV

**Answer: D**

### Question: 10

The process information shown in the graph above is indicative of a

- A. cycle
- B. run
- C. trend
- D. shift

**Answer: C**

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